

When it comes to estate planning, getting started can be the hardest part. A recent study found that nearly **68% of Americans do not have an estate plan**—36% of which simply **"haven't gotten around to it."** This worksheet is intended to keep you organized and ahead of the curve.

Securities offered through LPL Financial, Member FINRA/SIPC. Investment advice offered through Providence Capital Partners, Inc., a registered investment advisor. Providence Capital Partners, Inc. and Denver Wealth Management are separate entities from LPL Financial.



BACKGROUND

# GETTING STARTED: ESTATE PLANNING (PT. 2)

First		Middle	Last
<b>Marital Status: (Check</b> Married Domestic Partner Unmarried	<b>all that apply)</b> Spouse of Non-U.S. Citizen Married Previously Spouse Married Previously	<b>Provisions You're Considering For Beneficiaries:</b> (Check all that apply) A gifting program during my lifetime Additional life insurance A significant charitable contribution during my lifetime	Additional Information: (Check all that apply) A significant inheritance is likely for me, my spouse, or my beneficiaries. I've named a durable Power of Attorney (a person to act as a legal representative in th
Do you have children? If yes, check all that a	Yes No pply:	A significant charitable contribution after my death	event of my incapacity or the incapacity of any of my beneficiaries).
Name: Adopted Children Children from a prev	entified a legal guardian? ious marriage from a previous marriage	<b>Provisions For a Business You Own: (Check one)</b> I have a written business continuation plan. I wish to have the business liquidated after my death.	Name: I've named an <b>estate executor</b> (a person to manage the assets of my estate after my death). Name:

## INDIVIDUAL RETIREMENT ACCOUNTS

Full Name	Date of Birth	Social Security No.	Relationship	Contact Information



## **BANK ACCOUNTS**

Bank Contact Information	Titling <sup>1</sup>	Account No. & Type	Beneficiary	Fair Market Value

#### **BROKERAGE ACCOUNTS**

Brokerage Firm Contact Information	Titling <sup>1</sup>	Account No.	Beneficiary	Fair Market Value
Total Brokerage Accounts				

## **SECURITIES IN CERTIFICATE FORM**

Name of Stock, Bond, etc.	Titling <sup>1</sup>	CUSIP No. <sup>2</sup>	Number of Shares	Fair Market Value



### **INDIVIDUAL RETIREMENT ACCOUNTS**

Inv	estment Firm Contact Information	Type <sup>3</sup> & Account No.	Account Owner	Beneficiary	Fair Market Value
	Total Individual Retirement Accounts				

#### **EMPLOYER-SPONSORED RETIREMENT PLANS**

Туре	Plan Contact Information	Account No.	Participant	Beneficiary	Value
Employer-Sponsored Plans <sup>4</sup>					
Employer-Sponsored Plans <sup>4</sup>					
Employee Stock Option					
Deferred Compensation					
Pension/Profit-Sharing					
Veterans/Govt. Benefits					
	Total Employer-Sponsored Retirement Plans & Retirement Benefits				

<sup>1</sup>Titling abbreviations: IND for individual; JTWROS for joint tenancy with rights of survivorship; TE for tenancy by the entirety; CP for community property; CPWROS for community property with rights of survivorship; TC for tenants in common.

<sup>2</sup>CUSIP No.: This is the standardized identification number assigned to issues of stocks and bonds and is usually found on the certificate near the number of shares.

<sup>3</sup>Individual retirement account types include IRAs, Roth IRAs, and Rollover IRAs.

<sup>4</sup>Employer-Sponsored retirement plans include 401(k), SEP, SIMPLE, 403(b) and 457 plans, and others.



## INSURANCE

Plan	Firm Contact Information	Policy No./Type⁵	Policy Owner	Beneficiary	Loans on Policy	Net (of Loans) Face Amt.
Life						
Medical						
Disability						
Homeowners/Renters						
Auto						
Total Insurance: Net Face Amount						

#### **REAL ESTATE**

Type of Property & Location	Titling <sup>1</sup>	Fair Market Value	Mortgage Amount	Value (Net of Mortgage)

## SAFE DEPOSIT BOX

Box Contact Information	Box No.	Contents	Executor	Location of Key

<sup>5</sup>Insurance policy types include GRP for group, INT for individual team, WHL for individual whole life (cash value), and SWL for survivorship (second to die).



## **PERSONAL PROPERTY**

Туре	Titling <sup>1</sup>	Description	Fair Market Value
Auto			
Auto			
Home Furnishings			
Collectibles			
Other			
		Total Personal Property	

#### **UNSECURED DEBTS**

	Lender Contact Information	Туре <sup>6</sup>	Balance Outstanding
ĺ		Total Unsecured Debts	

### DEBT OWED TO YOU

Borrower	Contact Information	Notes	Balance Outstanding		
Total Debt Owed to You					

<sup>6</sup>Unecured debt types include credit cards, personal lines of credit, etc.



<b>Business Contact Information</b>	Titling <sup>1</sup>	Ownership Percentage	Entity Type <sup>7</sup>	Fair Market Value	
Total Business Interests					

#### NOTES

<sup>7</sup>Entity types include SOLE for sole proprietorship, PART for partnership, SUB C for "regular" corporations, SUB S for subchapter S corporations, LLC for limited liability companies, and LLP for limited liability partnerships.

Securities offered through LPL Financial, Member FINRA/SIPC. Investment advice offered through Providence Capital Partners, Inc., a registered investment advisor. Providence Capital Partners, Inc. and Denver Wealth Management are separate entities from LPL Financial.