

When it comes to estate planning, getting started can be the hardest part. A recent study found that nearly **68% of Americans do not have an estate plan**—36% of which simply **"haven't gotten around to it."** This worksheet is intended to keep you organized and ahead of the curve.

Securities offered through LPL Financial, Member FINRA/SIPC. Investment advice offered through Providence Capital Partners, Inc., a registered investment advisor. Providence Capital Partners, Inc. and Denver Wealth Management are separate entities from LPL Financial.



BACKGROUND

GETTING STARTED: ESTATE PLANNING (PT. 2)

| First | | Middle | Last |
|---|---|--|--|
| Marital Status: (Check Married Domestic Partner Unmarried | all that apply) Spouse of Non-U.S. Citizen Married Previously Spouse Married Previously | Provisions You're Considering For Beneficiaries: (Check all that apply) A gifting program during my lifetime Additional life insurance A significant charitable contribution during my lifetime | Additional Information: (Check all that apply) A significant inheritance is likely for me, my spouse, or my beneficiaries. I've named a durable Power of Attorney (a person to act as a legal representative in th |
| Do you have children? If yes, check all that a | Yes No pply: | A significant charitable contribution after my death | event of my incapacity or the incapacity of any of my beneficiaries). |
| Name: Adopted Children Children from a prev | entified a legal guardian? ious marriage from a previous marriage | Provisions For a Business You Own: (Check one) I have a written business continuation plan. I wish to have the business liquidated after my death. | Name: I've named an estate executor (a person to manage the assets of my estate after my death). Name: |

INDIVIDUAL RETIREMENT ACCOUNTS

| Full Name | Date of Birth | Social Security No. | Relationship | Contact Information |
|-----------|---------------|---------------------|--------------|---------------------|
| | | | | |
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BANK ACCOUNTS

| Bank Contact Information | Titling ¹ | Account No. & Type | Beneficiary | Fair Market Value |
|--------------------------|----------------------|--------------------|-------------|-------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |

BROKERAGE ACCOUNTS

| Brokerage Firm Contact Information | Titling ¹ | Account No. | Beneficiary | Fair Market Value |
|------------------------------------|----------------------|-------------|-------------|-------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total Brokerage Accounts | | | | |

SECURITIES IN CERTIFICATE FORM

| Name of Stock, Bond, etc. | Titling ¹ | CUSIP No. ² | Number of Shares | Fair Market Value |
|---------------------------|----------------------|------------------------|------------------|-------------------|
| | | | | |
| | | | | |
| | | | | |
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INDIVIDUAL RETIREMENT ACCOUNTS

| Inv | estment Firm Contact Information | Type ³ & Account No. | Account Owner | Beneficiary | Fair Market Value |
|-----|--------------------------------------|---------------------------------|---------------|-------------|-------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | Total Individual Retirement Accounts | | | | |

EMPLOYER-SPONSORED RETIREMENT PLANS

| Туре | Plan Contact Information | Account No. | Participant | Beneficiary | Value |
|---------------------------------------|---|-------------|-------------|-------------|-------|
| Employer-Sponsored Plans ⁴ | | | | | |
| Employer-Sponsored Plans ⁴ | | | | | |
| Employee Stock Option | | | | | |
| Deferred Compensation | | | | | |
| Pension/Profit-Sharing | | | | | |
| Veterans/Govt. Benefits | | | | | |
| | Total Employer-Sponsored Retirement Plans & Retirement Benefits | | | | |

¹Titling abbreviations: IND for individual; JTWROS for joint tenancy with rights of survivorship; TE for tenancy by the entirety; CP for community property; CPWROS for community property with rights of survivorship; TC for tenants in common.

²CUSIP No.: This is the standardized identification number assigned to issues of stocks and bonds and is usually found on the certificate near the number of shares.

³Individual retirement account types include IRAs, Roth IRAs, and Rollover IRAs.

⁴Employer-Sponsored retirement plans include 401(k), SEP, SIMPLE, 403(b) and 457 plans, and others.



INSURANCE

| Plan | Firm Contact Information | Policy No./Type⁵ | Policy Owner | Beneficiary | Loans on Policy | Net (of Loans) Face Amt. |
|----------------------------------|--------------------------|------------------|--------------|-------------|-----------------|--------------------------|
| Life | | | | | | |
| Medical | | | | | | |
| Disability | | | | | | |
| Homeowners/Renters | | | | | | |
| Auto | | | | | | |
| Total Insurance: Net Face Amount | | | | | | |

REAL ESTATE

| Type of Property & Location | Titling ¹ | Fair Market Value | Mortgage Amount | Value (Net of Mortgage) |
|-----------------------------|----------------------|-------------------|-----------------|-------------------------|
| | | | | |
| | | | | |
| | | | | |
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| | | | | |
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SAFE DEPOSIT BOX

| Box Contact Information | Box No. | Contents | Executor | Location of Key |
|-------------------------|---------|----------|----------|-----------------|
| | | | | |

⁵Insurance policy types include GRP for group, INT for individual team, WHL for individual whole life (cash value), and SWL for survivorship (second to die).



PERSONAL PROPERTY

| Туре | Titling ¹ | Description | Fair Market Value |
|------------------|----------------------|-------------------------|-------------------|
| Auto | | | |
| Auto | | | |
| Home Furnishings | | | |
| Collectibles | | | |
| Other | | | |
| | | Total Personal Property | |

UNSECURED DEBTS

| | Lender Contact Information | Туре ⁶ | Balance Outstanding |
|---|----------------------------|-----------------------|---------------------|
| | | | |
| | | | |
| | | | |
| ĺ | | Total Unsecured Debts | |

DEBT OWED TO YOU

| Borrower | Contact Information | Notes | Balance Outstanding | | |
|------------------------|---------------------|-------|---------------------|--|--|
| | | | | | |
| | | | | | |
| Total Debt Owed to You | | | | | |

⁶Unecured debt types include credit cards, personal lines of credit, etc.



| Business Contact Information | Titling ¹ | Ownership Percentage | Entity Type ⁷ | Fair Market Value | |
|-------------------------------------|----------------------|----------------------|--------------------------|-------------------|--|
| | | | | | |
| | | | | | |
| Total Business Interests | | | | | |

NOTES

⁷Entity types include SOLE for sole proprietorship, PART for partnership, SUB C for "regular" corporations, SUB S for subchapter S corporations, LLC for limited liability companies, and LLP for limited liability partnerships.

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